

UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Joachim Eichner
Serial No.: Not yet known
Filed: July 10, 2003
For: Coffee Roasting Methods and Apparatus

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 10, 2003

Sir:

Applicant herewith submits a list of references cited in connection with the parent application, U.S. patent application Ser. No. 09/443,763. A copy of each of the references can be found in the parent application.

The relevance of some of the references is discussed in the background of the invention section of the application.

This submission does not represent that a search has been made or that no better prior art exists. While the term "reference" is used in citing each of the publications called to the Examiner's attention herein, applicant does not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicant respectfully requests that the Examiner independently determine those items that the Examiner would consider the most pertinent of all the references cited herein.

It is respectfully requested that these references be considered and made of record.

Respectfully submitted,

By: Michael N. Mercanti
Michael N. Mercanti
Attorney for Applicant
Reg. No. 33,966

Baren Roff
Ref. No. 35336

Muserlian, Lucas & Mercanti LLP
600 Third Avenue
New York, NY 10016
Tel.: (212) 661-8000
Fax.: (212) 661-8002

Enclosures
PTO-1449 (7 pages)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	
		Filing Date	July 10, 2003
		First Named Inventor	Joachim EICHNER
		Art Unit	
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	125.1001 DIV

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Complet if Known

Application Number

Filing Date July 10, 2003

First Named Inventor Joachim EICHNER

Art Unit

Examiner Name

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.² Applicant's unique citation designation number (optional).³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁷ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

FORM PTO-1449 (Rev. 7-80)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. PRAXIS 3.0-001		Application No. 09/443,763	
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant EICHNER					
		Filing Date: NOVEMBER 19, 1999		Group 1761			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,572,235	3/23/71	Nutting et al.	99	236	
	AB	3,595,668	7/27/91	Nutting et al.	99	68	
	AC	4,737,376	4/12/88	Brandlein et al.	426	467	
	AD	4,484,064	11/20/84	Murray	219	400	
	AE	3,763,766	10/9/73	Smith, Jr.	99	468	
	AF	5,500,237	3/19/96	Gell, Jr. et al.	426	466	
	AG	3,345,180	10/3/67	Smith, Jr.	99	68	
	AH	3,395,634	8/6/68	Smith, Jr.	99	236	
	AI	5,573,802	11/12/96	Porto	426	466	
	AJ	4,501,761	2/26/85	Mahlmann et al.	426	467	
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>
	AL						
	AM						
	AN						
	AO						
	AP						
OTHER PRIOR ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	AR						
	AS						
	AT						
EXAMINER		DATE CONSIDERED					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
247016_1.DOC							

FORM PTO-1449 (Rev. 7-80)				U.S. Department of Commerce Patent and Trademark Office			Atty. Docket No. PRAXIS 3.0-001		Sheet 1 of 6 Application No. 09/443,763	
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>				Applicant EICHNER						
				Filing Date: NOVEMBER 19, 1999				Group 1761		
U.S. PATENT DOCUMENTS										
*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	AA	75,829	3/24/ 1868	Babbitt						
	AB	115,302	5/30/ 1871	Galloway						
	AC	1,237,931	8/21/17	Malvezin						
	AD	1,602,576	10/12/26	E.M. Gant						
	AE	177,592	5/16/ 1876	Underwood						
	AF	1,788,705	1/13/31	Close						
	AG	2,087,602	7/20/37	McCrosson						
	AH	2,212,120	8/20/40	Kneale						
	AI	2,213,120	8/27/ 1940	Burgess						
	AJ	2,278,217	3/31/42	Rodanet						
	AK	2,278,473	4/7/42	Musher						
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>			
	AL	WO 84/01270	4/12/84	WIPO						
	AM	989246	5/18/76	Canada	99	76				
	AN									
	AO									
	AP									
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)										
	AR	Comparison of Changes in Roasted Coffee Beans in Pressurized Oxygen Free vs. Atmospheric Roasters, M. Sivetz, Canada Institute for Scientific and Technical Information, 1973, pp. 199-221.								
	AS									
	AT									
EXAMINER		DATE CONSIDERED								
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>										

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.
PRAXIS 3.0-001Sheet 2 of 6
Application No.
09/443,763

Applicant

EICHNER

Filing Date: NOVEMBER 19, 1999

Group 1761

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2,282,708	5/12/42	Dantzig			
	AB	2,444,217	6/29/48	Armentrout			
	AC	2,497,501	2/14/50	Himmel			
	AD	2555,965	4/4/1882	Fleury, et al.			
	AE	2,581,148	1/1/52	Scull, et al.			
	AF	2,712,501	7/5/55	Hale			
	AG	2,857,683	10/28/58	Schytil			
	AH	2,859,116	11/4/58	Heimbs, et al.			
	AI	2,874,483	2/24/59	Brandl			
	AJ	308,169	11/18/1884	Jennings			
	AK	3,088,825	5/7/63	Topalian, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(Rev. 7-80)U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No.
PRAXIS 3.0-001Application No.
09/443,763

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date: NOVEMBER 19, 1999

Group 1761

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,088,875	5/7/63	Fisk, et al.			
	AB	3,106,470	10/8/63	Spotholz			
	AC	3,106,670	10/8/63	Laux			
	AD	3,122,439	2/25/64	MacAllister			
	AE	3,149,976	9/22/64	Smith Jr.			
	AF	3,189,460	6/15/65	Smith Jr.			
	AG	3,223,021	12/14/65	Osswald			
	AH	3,285,157	11/15/66	Smith Jr.			
	AI	3,328,172	6/27/67	Smith Jr.			
	AJ	3,329,506	7/4/67	Smith Jr.			
	AK	3,345,181	10/3/67	Smith Jr.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	
EXAMINER		DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

FORM PTO-1449 (Rev. 7-80)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. PRAXIS 3.0-001		Application No. 09/443,763	
------------------------------	--	--	--	------------------------------------	--	-------------------------------	--

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant
EICHNER

Filing Date: NOVEMBER 19, 1999

Group 1761

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,370,502	2/27/68	Wilks			
	AB	3,572,235	3/23/71	Nutting			
	AC	3,589,911	6/29/71	Friedman	99	68	
	AD	3,589,912	6/29/71	Adler	99	68	
	AE	3,595,668	7/27/71	Nutting			
	AF	3,615,668	10/26/71	Smith Jr.	99	68	
	AG	3,640,726	2/8/72	Bolt	99	68	
	AH	3,724,090	4/3/73	Smith Jr.	34	57	
	AI	3,730,731	5/1/73	Smith Jr.	99	68	
	AJ	3,762,930	10/2/73	Mahlmann	99	68	
	AK	3,767,417	10/23/73	Touba	426	523	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Rev. 7-80)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. PRAXIS 3.0-001	Application No. 09/441,763
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant EICHNER	
		Filing Date: NOVEMBER 19, 1999	Group 1761

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,767,418	10/23/73	Ponzoni	426	461	
	AB	3,821,450	6/28/74	Stauber	426	467	
	AC	3,823,662	7/16/74	Smith Jr.	99	468	
	AD	3,874,766	4/1/75	Mizusawa	339	125	
	AE	3,964,175	6/22/76	Sivetz	34	57	
	AF	4,096,792	6/27/78	Smith Jr.	99	355	
	AG	4,246,836	1/27/81	Smith Jr.	99	478	
	AH	4,169,164	9/25/79	Hubbard	426	467	
	AI	4,322,447	3/30/82	Hubbard	426	467	
	AJ	4,215,151	7/29/80	Rios	426	467	
	AK	4,349,573	9/14/82	Stefanucci	426	388	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AR	
	AS	
	AT	

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date: NOVEMBER 19, 1999

Group 1761

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,494,314	1/22/85	Gell, Jr.	34	10	
	AB	4,540,591	9/10/85	Dar, et al.	426	388	
	AC	4,988,590	1/29/91	Price, et al.	426	595	
	AD	5,573,802	11/12/96	Porto	426	466	
	AE	5,681,607	10/28/97	Maki, et al.	426	595	
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.